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VOTING REGULATIONS

THE FIRST EXTRAORDINARY GENERAL MEETING OF INVESTORS IN 2026 OF THE DFVN CAPITAL APPRECIATION FUND (DFVN-CAF)

(Attach the Proposal No. 144/CEO/DFVN26 of the Daiichi Life Vietnam Fund Management Company Limited dated 29/10/2026)

- *Pursuant to Law on Enterprises No. 59/2020/QH14 passed by the National Assembly of the Socialist Republic of Vietnam dated 17th June 2020 and any amendments thereto;*
- *Pursuant to Circular No.98/2020/TT-BTC of the Ministry of Finance issued on 16th November 2020 guiding the operation and management of securities investment fund ("Circular 98/2020/TT-BTC");*
- *Pursuant to Circular No.136/2025/TT-BTC of the Ministry of Finance issued on 29th December 2025 amending, supplementing some articles of Circular 98/2020/TT-BTC;*
- *Pursuant to the organization and operation Fund Charter of the DFVN-CAF ("Fund's Charter").*

The First Extraordinary General Meeting of Investors in 2026 of the DFVN Capital Appreciation Fund (DFVN-CAF) conduct the voting according to the following regulations:

Article 1: Voting Rights and Voting Sheet

1.1. Each Fund Certificate has one corresponding voting right, represented by one Voting Sheet issued by the Organizing Committee of the General Meeting of Investors. The Supervisory Banks, the Fund Management Company, the fund's audit organization, and the law firm providing services to the Fund have the right to participate in the General Meeting of Investors but do not have voting rights, except in cases where these entities are also valid Investors of the Fund.

1.2. The Voting Sheet contains the full name and information of the Investor with voting rights, who is present either directly or through an authorized representative attending the Meeting (hereinafter referred to as the delegates).

1.3. In the case of voting directly at the Meeting, the top left corner of the Voting Sheet will bear the seal of the DFVN Fund Management Company.

1.4. In the case of getting the written opinion, the Voting Sheet can be replaced by other appropriate forms as specified in the Regulations of getting the written opinion or other applicable names from time to time.

1.5. In the case of the Meeting being held online or a combination of in-person and online, the online Voting Sheet will be replaced by other appropriate forms as specified in Clause 1.2 of this Article.

Article 2: Right to Use the Voting Sheet

2.1. The Voting Sheet or other forms of the Voting Sheet mentioned in Clauses 1.4 and 1.5 of Article 1 are valid in the Meeting as the sheet issued or provided by the Organizing Committee of the Meeting to delegates who are eligible to attend the Meeting.

2.2. Each delegate attending the Meeting will be provided with one Voting Sheet; or an account (ID) and password, or an online voting tool (hereinafter referred to as "Voting Sheet") to vote in the case mentioned in Clause 1.5 of Article 1 above.

2.3. The Voting Sheet of a delegate must only be used by that delegate or her/his authorized representative, in accordance with the content and scope of the authorization.

Article 3: How to Use the Voting Sheet

3.1. Matters in the agenda of the Meeting that must be voted on in accordance with the Fund's Charter, which has been issued, shall be approved through a public voting process using the Voting Sheets of the delegates attending the Meeting.

3.2. A delegate votes (agree, disagree, or have other opinions) on an issue by raising or submitting the Voting Sheet until the Vote Counting Committee has finished collecting and/or counting the votes; or the delegate votes by sending the voting information to the designated recipient and address in accordance with the relevant regulations in the case of getting the written opinion or an online Meeting. The delegate's vote will only be considered complete when the voting information has been sent and received according to the regulations.

3.4. The Chair of the Meeting or the Secretary of the Meeting may carry out necessary activities to conduct the Meeting in a valid and orderly manner; or to ensure that the Meeting reflects the desires of the majority of delegates attending.

Article 4: Method of Counting the Voting Results

4.1 Except for the case specified in Clause 4.2 below (Article 24.5 of the Fund's Charter), a decision of the General Meeting of Investors will be ratified if it is voted for by a number of "Agreed" Investors that represent at least 50% of the participating Investors.

4.2. Resolutions of the General Meeting of Investors on the matters specified in Articles 22.2 and 22.3 of the Fund's Charter must be approved through a vote at the General Meeting of Investors. In this case, the decision at the meeting will be ratified if it is voted for by a number of "Agreed" Investors that represent at least 65% of the participating Investors.

4.3. In the case where all Investors represent 100% of the Fund Certificates with voting rights and attend the meeting directly or through an authorized representative, the decisions approved by the General Meeting of Investors are considered valid, even if the convocation of the General Meeting of Investors did not follow the correct procedures or the voting items were not included in the agenda.

4.4. The Vote Counting Committee must record the information during the voting process and issue a vote counting record after the voting is completed. The contents of the report include: the total number of Investors attending the meeting, the total number of Investors participating in the voting, the number of Voting Sheets; the number and percentage of votes in agree, disagree or having other opinions that were voted on.

4.5. Vote counting records must be announced immediately after the voting session concludes, in accordance with legal regulations or at the request of the Chair of the Meeting.

Article 5: Vote Counting Supervision

The distribution and provision of Voting Sheets, as well as the verification of the voting results, are supervised by a member who is an Investor attending the Meeting.

Article 6: Implementation clauses

The Voting Regulations of The First Extraordinary General Meeting of Investors in 2026 consists of 6 Articles. These regulations come into force immediately after being approved by The First Extraordinary General Meeting of Investors in 2026.